General information about company 531651 Scrip code NSE Symbol MSEI Symbol **ISIN** INE654H01011 National General Industries Limited Name of the entity Date of start of financial year 01-04-2021 31-03-2022 Date of end of financial year Reporting Quarter Half Yearly Date of Report 30-09-2021 Not Applicable Risk management committee Market Capitalisation as per immediate previous Financial Year Any other **Annexure I** Annexure I to be submitted by listed entity on quarterly basis I. Composition of Board of Directors Disclosure of notes on composition of board of directors explanatory | Textual Information(1) Whether the listed entity has a Regular Chairperson No Whether Chairperson is related to MD or CEO Yes No of post No of Number of Independent No of Chairperson memberships Directorship Directorship in Audit/ Whether in Audit/ Stakeholder in listed in listed special Stakeholder Tenure entities entities Committee Title resolution Date of Committee(s) Notes for Initial Date of including including held in listed Category Date of Re-(Mr Name of the Category 1 Category 2 passed? passing Date of including this PAN DIN 3 of of of this listed this listed director entities of directors [Refer Reg. special Director of directors listed entity providing providing appointment cessation appointment directors Birth entity (Refer including (in entity Ms) 17(1A) of resolution (Refer DIN months) Regulation (Refer this listed Regulation Listing Regulation 17A of entity (Refer Regulations] 26(1) of Listing 17A(1) of Regulation Listing Regulations) Listing 26(1) of Regulations) Listing Regulations Regulations) Pawan Chairperson 01-Executive ADWPM8473G | 00051679 Mr Kumar related to NA 08-01-1987 Director 1957 Modi Promoter Non-Executive -ADQPM5693Q 00051696 Mr Vasu Modi Non 06-NA 10-04-2000 Applicable 1980 Independent Director Non-Executive -16-Manhar AINPM1984G 00051746 30-06-2021 Mr Non 09-NA Modi Applicable 1983 Independent Director Non-Anirudh Executive -00907733 Mr Vimalkuma ABQPG7464H 05-NA 30-03-2019 60 Applicable 1982 Goenka Director **I. Composition of Board of Directors** Disclosure of notes on composition of board of directors explanatory Whether the listed entity has a Regular Chairperson No of post No of of Number of No of Independent Chairperson memberships Directorship Directorship in Audit/ Whether in Audit/ in listed Stakeholder in listed special Stakeholder Tenure entities entities Committee Committee(s) resolution Date of Title Notes for Category **Initial Date** Name of Category 2 Date including including of held in listed Category 1 (Mr passed? passing Date of Re-Date of including this not PAN DIN of this listed this listed the of 3 of of director entities of directors [Refer Reg. special appointment cessation listed entity providing entity (Refer Director directors directors Birth appointment (in entity including Ms) 17(1A) of resolution (Refer PAN (Refer this listed Regulation months) Listing Regulation 17A of Regulation entity (Refer Regulations] 26(1) of 17A(1) of Regulation Listing Listing Regulations) 26(1) of Listing Regulations) Regulations Listing Regulations) Non-Executive -Not Anantshri 07-Mr ATNPG0159J 08126520 NA 30-03-2019 60 Gupta Independent Applicable 1993 Director Non-01-Sanjana Executive -Mrs ASWPB7706L 08407030 09-NA 30-03-2019 60 2 Bharat Independent Applicable 1979 Director **Text Block** In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company was less than Rs. 25 Crore. Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net Textual Information(1) worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation 15(2) of the said Regulations, the company is required to make compliances with the provision of Corporate Governance within six month. In view of the same, the Company has started making compliances with the provision of Corporate Governance w.e.f. 1st July, 2021. **Annexure 1 II. Composition of Committees** Disclosure of notes on composition of committees explanatory | Textual Information(1) **Annexure 1 Text Block** In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company was less than Rs. 25 Crore. Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net Textual Information(1) worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation 15(2) of the said Regulations, the company is required to make compliances with the provision of Corporate Governance within six month. In view of the same, the Company has started making compliances with the provision of Corporate Governance w.e.f. 1st July, 2021. **Audit Committee Details** Whether the Audit Committee has a Regular Chairperson Yes DIN Name of Committee Category 2 of Date of Date of Category 1 of directors Remarks Number directors Appointment Cessation members Anirudh Vimalkumar Non-Executive - Independent 00907733 Chairperson 30-03-2019 Goenka Director Non-Executive - Independent 08407030 Sanjana Bharat Member 30-03-2019 Director Non-Executive - Non 00051696 Vasu Modi 10-04-2000 Member **Independent Director** Nomination and remuneration committee Whether the Nomination and remuneration committee has a Regular Chairperson Yes Category 2 of DIN Name of Committee Date of Date of Category 1 of directors Remarks Number directors Appointment members Cessation Anirudh Vimalkumar Non-Executive - Independent 00907733 Chairperson 30-03-2019 Goenka Director Non-Executive - Independent 08407030 | Sanjana Bharat Member 30-03-2019 Director Non-Executive - Non 00051696 Vasu Modi Member 10-04-2000 Independent Director **Stakeholders Relationship Committee** Whether the Stakeholders Relationship Committee has a Regular Chairperson Yes DIN Name of Committee Date of Category 2 of Date of Category 1 of directors Remarks Number members directors Appointment Cessation Anirudh Vimalkumar Non-Executive - Independent 00907733 Chairperson 30-03-2019 Goenka Director Non-Executive - Independent 08407030 | Sanjana Bharat Member 30-03-2019 Director Non-Executive - Non 00051696 Vasu Modi Member 10-04-2000 **Independent Director Risk Management Committee** Whether the Risk Management Committee has a Regular Chairperson DIN Date of Name of Committee Category 1 of Category 2 of Date of Remarks Number members directors directors Appointment Cessation **Corporate Social Responsibility Committee** Whether the Corporate Social Responsibility Committee has a Regular Chairperson Name of Committee Date of DIN Category 1 of Category 2 of Date of Remarks Number members directors directors Appointment Cessation **Other Committee** Sr DIN Number Name of Committee members Name of other committee Category 1 of directors Category 2 of directors Remarks **Annexure 1** Annexure 1 **III. Meeting of Board of Directors** Textual Disclosure of notes on meeting of board of directors explanatory Information(1) No. of Date(s) of Date(s) of Maximum gap Notes for Whether Independent Number of Directors meeting (if any) meeting (if any) between any two requirement of not Directors present* (other than providing in the previous in the current consecutive (in Quorum met **Independent Director)** attending the number of days) Date quarter quarter (Yes/No) meeting* Yes 13-08-2021 **Text Block**

Notes

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In view of the same, the Company has started making compliances with the provision of Corporate Governance w.e.f. 1st July, 2021. **Annexure 1 IV. Meeting of Committees** Textual Disclosure of notes on meeting of committees explanatory Information(1) Number of Whether No. of Date(s) of meeting (Enter Maximum gap Reson for Directors Independent Name of requirement dates of Previous quarter Name of present* (other between any two not Directors other of Quorum and Current quarter in providing Committee consecutive (in than committee attending the met chronological order) number of days) date Independent meeting* (Yes/No) Director) Audit 13-08-2021 Yes Committee **Text Block** In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company was less than Rs. 25 Crore. Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation Textual Information(1) 15(2) of the said Regulations, the company is required to make compliances with the provision of Corporate Governance within six month. In view of the same, the Company has started making compliances with the provision of Corporate Governance w.e.f. 1st July, 2021.

Annexure 1

Text Block

Compliance status

(Yes/No/NA)

In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company

Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net

In view of the same, the Company has started making compliances with the provision of Corporate

In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company

Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net

In view of the same, the Company has started making compliances with the provision of Corporate

Annexure 1

worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation 15(2) of the said Regulations, the company is required to make compliances with the provision of

worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation 15(2) of the said Regulations, the company is required to make compliances with the provision of

NA

NA

Yes

If status is Noldetails of non-

Textual Information(1)

Textual Information(2)

compliance may be given here.

In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, compliances with the Corporate Governance provision was exempted as net worth of the company

Upon publication of Audited Financial Results for the financial year ended on 31st March, 2021, net

worth of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation 15(2) of the said Regulations, the company is required to make compliances with the provision of

was less than Rs. 25 Crore.

Corporate Governance within six month.

Textual Information(1)

V. Related Party Transactions

Whether prior approval of audit committee obtained

Disclosure of notes of material transaction with related party

have been reviewed by Audit Committee

Disclosure of notes on related party transactions

Whether shareholder approval obtained for material RPT

Whether details of RPT entered into pursuant to omnibus approval

was less than Rs. 25 Crore.

Corporate Governance within six month.

Corporate Governance within six month.

Governance w.e.f. 1st July, 2021.

Governance w.e.f. 1st July, 2021.

was less than Rs. 25 Crore.

Sr Subject

Textual Information(1)

Textual Information(2)

III. Affirmations

Sr Particulars

report displayed on website

the annual general meeting

annual general meeting

VI	VI. Affirmations							
Sr	Sr Subject (
1		e composition of Board of gulations, 2015	Directors is in	terms of SEBI (Listing obligations and disclosure requirements)	Yes			
2	The composition of the following committee Regulations, 2015 a. Audit Committee			es is in terms of SEBI(Listing obligations and disclosure requirements)	Yes			
3	The composition of the following committee Regulations, 2015. b. Nomination & remun			es is in terms of SEBI(Listing obligations and disclosure requirements) eration committee	Yes			
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee							
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)							
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.							
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.							
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.				Yes			
9	Any comments/observations/advice of Boar			d of Directors may be mentioned here:	Textual Information(1)			
				Annexure 1				
Sr		Subject		Compliance status				
1		Name of signatory		Vandana Gupta				
2 Designation				Company Secretary and Compliance Officer				
				Text Block				
In terms of regulation 15(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulation 2015, compliances with the Corporate Governance provision was exempted as net worth of the conwast less than Rs. 25 Crore.								
Textual Information(1) worth 15(2)			worth of the of 15(2) of the s	publication of Audited Financial Results for the financial year ended on 31st March, 2021, net of the company exceeds Rs. 25 crores and therefore in accordance with the proviso to regulation of the said Regulations, the company is required to make compliances with the provision of ate Governance within six month.				
				e same, the Company has started making compliances with the provision of Corporate w.e.f. 1st July, 2021.				

Annexure III

Copy of the annual report including balance sheet, profit and loss account,

Presence of Chairperson of the nomination and remuneration committee at

Presence of Chairperson of the Stakeholder Relationship committee at the

directors report, corporate governance report, business responsibility

Presence of Chairperson of Audit Committee at the Annual General

If status is Noldetails of

non-compliance may be

given here.

Compliance

(Yes/No/NA)

status

Yes

Yes

Yes

Regulation

Number

46(2)

18(1)(d)

19(3)

34(3) read with

5 Whether Corporate Governance Report disclosed in Annu			ual Report	34(3) read with para C of Schedule V	NA			
	Any other information to be p			Textual Inform	nation((1)		
			Text Block					
2015, compliances with the was less than Rs. 25 Crore Upon publication of Audit worth of the company excess 15(2) of the said Regulation Corporate Governance with the was less than Rs. 25 Crore Upon publication of Audit worth of the company excess 15(2) of the said Regulation Corporate Governance with the was less than Rs. 25 Crore Upon publication of Audit worth of the company excess 15(2) of the said Regulation Corporate Governance with the was less than Rs. 25 Crore			ted Financial Results for the financial year ended on 31st March, 2021, net eeds Rs. 25 crores and therefore in accordance with the proviso to regulation ons, the company is required to make compliances with the provision of thin six month. Tompany has started making compliances with the provision of Corporate					7
		<u> </u>		•		•		
			Annexure III					
1	Name of signatory	Vandana Gup	pta					
2	Designation	Company Sec	cretary and Compliance Officer					
A	dditional Half yearly Di	sclosure						
App	olicability of disclosure		Applicable					
Rea	son for Non Applicability		Textual Information	on(1)				
I. D	isclosure of Loans/ guarante	es/comfort letters /securit	ies etc.refer note b	oelow				
(A)	Any loan or any other form of	debt advanced by the listed	l entity directly or i	ndirectly to				
Ent	ity		Aggregate amount advanced during six months		Balance outstand at the en- six mont	d of		
Pro	moter or any other entity conti	colled by them	0		0			
Pro	moter Group or any other enti	ty controlled by them	0		0			
Dir	ectors (including relatives) or	any other entity controlled	0		0			

Textual Information(1)	worth of the 15(2) of the Corporate G In view of the Governance	company excessed Regulation covernance with the Carlotte same, the Carlotte Sure.f. 1st July	ed Financial Results for the financial year eeds Rs. 25 crores and therefore in accordans, the company is required to make comphin six month. ompany has started making compliances w, 2021. FY 2020-21 do not contains Corporate Go	nce with the proliances with the	oviso to regulation e provision of on of Corporate
	Trence, rime	au report for	1 1 2020 21 do not contains corporate co	vernunce repor	
			Annexure III		
1 Name of signatory		Vandana Gup	ta		
2 Designation		Company Sec	cretary and Compliance Officer		
Additional Half yearl	ly Disclosure				
Applicability of disclosure			Applicable		
Reason for Non Applicabilit I. Disclosure of Loans/ gua		etters /securit	Textual Information(1)		
			l entity directly or indirectly to		
Entity			Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity Promoter Group or any other	-		0	0	
Directors (including relative					
by them			0	0	
KMPs or any other entity co	<u> </u>		0	0	
` ,			r name called) provided by the list of the list of the list of the by the list of the by the list of t	sted entity d	irectly or
Entity	, and the second	.,	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking int account any invocation)
Promoter or any other entity	•		0	0	0
Promoter Group or any other Directors (including relative	•		0	0	0
by them			0	0	0
KMPs or any other entity co			0	0	0
(C) Any security prov other form of debt av		ted entity d	lirectly or indirectly, in connection	n with any lo	oan(s) or any
	J		Type of security (cash, shares etc.)	Aggregate value of security provided	Balance outstanding at the
Entity				during six months	end of six months
Entity Promoter or any other entity	controlled by then	1	0	during six	
Promoter or any other entity Promoter Group or any other	r entity controlled	oy them	0	during six months	end of six months
Promoter or any other entity	r entity controlled	oy them		during six months	end of six months
Promoter or any other entity Promoter Group or any other Directors (including relative	r entity controlled l	oy them	0	during six months 0 0	end of six months 0 0 0
Promoter or any other entity Promoter Group or any other Directors (including relative by them	r entity controlled l	oy them	0	during six months 0 0	end of six months 0 0
Promoter or any other entity Promoter Group or any other Directors (including relative by them KMPs or any other entity co	r entity controlled l	oy them	0	during six months 0 0	end of six months 0 0 0 Textual
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Promoter or any other entity Promoter Group or any other Directors (including relative by them KMPs or any other entity co (D) Additional Information II. Affirmations All loans (or other form of d connection with any loan(s) promoter(s), promoter group	r entity controlled les) or any other entiontrolled by them lebt), guarantees, co (or other form of do, director(s) (include	oy them ty controlled omfort letters ebt) given direding their rela	0	during six months 0 0 0 Compliance	end of six months 0 0 0 Textual Information(2)
Promoter or any other entity Promoter Group or any other Directors (including relative by them KMPs or any other entity co (D) Additional Information II. Affirmations All loans (or other form of d connection with any loan(s) promoter(s), promoter group (including their relatives) or	r entity controlled les) or any other entiontrolled by them lebt), guarantees, co (or other form of do, director(s) (include	oy them ty controlled omfort letters ebt) given direding their rela	0 0 (by whatever name called) or securities in ectly or indirectly by the listed entity to tives), key managerial personnel	during six months 0 0 0 Compliance Status	end of six months 0 0 0 Textual Information(2) Company Remark
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Promoter or any other entity Promoter Group or any other Directors (including relative by them KMPs or any other entity co (D) Additional Information II. Affirmations Affirmations All loans (or other form of d connection with any loan(s) promoter(s), promoter group (including their relatives) or company. Name Designation Place Date Name of signatory Designation of person	r entity controlled les) or any other entiontrolled by them lebt), guarantees, co (or other form of do, director(s) (include	oy them ty controlled omfort letters ebt) given dire ding their rela ed by them are Si Vandana Gup Company Se	0 (by whatever name called) or securities in ectly or indirectly by the listed entity to tives), key managerial personnel in the economic interest of the Pawan Kumar Modi CFO New Delhi 19-10-2021	during six months 0 0 0 Compliance Status	end of six months 0 0 0 Textual Information(2) Company Remark
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